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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 68219/27
INTERNATIONAL APPLICATION NO. PCT/IB2003/005082		INTERNATIONAL FILING DATE 7 November 2003 (07.11.2003)
TITLE OF INVENTION COPOLYMER HAVING AT LEAST ONE ALKOXY - OR HYDROXY POLYALKYLENE GLYCOL GRAFTED FUNCTION, AND USE THEREOF		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/532475</b>
APPLICANT(S) FOR DO/EO/US Patrick Gane, Matthias Buri and Michael Kaessberger		PRIORITY DATE CLAIMED 8 November 2002 (08.11.2002)

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **A copy of the International Search Report.**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/532475</b>		INTERNATIONAL APPLICATION NO. PCT/IB2003/005082		ATTORNEY'S DOCKET NUMBER	
21. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00				\$	
<input checked="" type="checkbox"/> b) Examination fee..... \$200.00				\$	
<input checked="" type="checkbox"/> c) Search fee..... \$500.00				\$	
<b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				\$ 1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	29 - 20 =	9	x \$50.00	\$ 450.00	
Independent claims	5 - 3 =	2	x \$200.00	\$ 400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1850.00	
Amount to be refunded:					\$
Amount to be charged					\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1850.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-1785</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Craig J. Arnold Amster, Rothstein &amp; Ebenstein LLP 90 Park Avenue New York, NY 10016</p>					
				<p style="text-align: center;"><i>Craig J. Arnold</i></p> <p>SIGNATURE</p>	
				<p>Craig J. Arnold</p> <p>NAME</p>	
				<p>34,287</p> <p>REGISTRATION NUMBER</p>	

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**JCO3 Rec'd PCT/PTO 22 APR 2005**

April 22, 2005

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(EL995232497US)Mail Stop PCT  
Commissioner for Patents  
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Alexandria, Virginia 22313-1450Craig J. Arnold  
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"Express Mail" mailing label no.	EL 995232497 US
Date of Deposit:	April 22, 2005
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Name:	Joycelyn Giles Fenty
Signature:	<i>Joycelyn Giles Fenty</i>

Re: United States National Stage Filing  
of PCT Application No. PCT/IB2003/005082  
Title: COPOLYMER HAVING AT LEAST ONE ALKOXY - OR  
HYDROXY-POLYALKYLENE GLYCOL GRAFTED  
FUNCTION, AND USE THEREOF  
Applicants: Patrick Gane, Matthias Buri and Michael Kaessberger  
Our File: 68219/27

Dear Sir:

Pursuant to 37 U.S.C. § 371 and 37 C.F.R. § 1.495, enclosed please find an application for patent in the names of Patrick Gane, Matthias Buri and Michael Kaessberger, entitled "COPOLYMER HAVING AT LEAST ONE ALKOXY - OR HYDROXY-POLYALKYLENE GLYCOL GRAFTED FUNCTION, AND USE THEREOF," for entering the national stage in the United States of America, comprising the following:

1. a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. § 371;
2. a copy of PCT Application No. PCT/IB2003/005082 as published under PCT Publication No. WO 2004/041883 A1 (in French), including cover page (1 page), specification (55 pages), and claims (27 pages);

Commissioner for Patents

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April 22, 2005

3. an English translation of PCT Application No. PCT/IB2003/005082, entitled "USE OF A COPOLYMER HAVING AT LEAST ONE GRAFTED ALKOXY OR HYDROXY POLYALKYLENE GLYCOL FUNCTION, AS GLOSS AGENT FOR PAPER AND PRODUCTS OBTAINED" (78 pages);
4. a Preliminary Amendment (32 pages);
5. a copy of the International Search Report (6 pages);
6. an Information Disclosure Statement including copies of the references cited in the International Search Report;
7. a check in the amount of \$1850.00 to cover the total filing fee; and
8. a return receipt postcard.

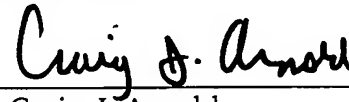
Please charge any outstanding amount or credit any overpayment to Deposit Account No. 01-1785. A duplicate copy of this letter is enclosed. Please also acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning the same to us.

Respectfully submitted,

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(212) 336-8000

Dated: April 22, 2005  
New York, New York

By: \_\_\_\_\_

  
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